

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Li YANG and Toshihiro YOSHIDA

Ser. No.: 09/770,725 Art Unit: 1745

Filed: January 26, 2001 Examiner: Jonathan Crepeau

Conf. No.: 6015

For: LITHIUM SECONDARY BATTERY

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION OF EFS TRANSMISSION

I hereby certify that this paper is being transmitted via EFS
to the Patent and Trademark Office on *October 5, 2006*.


Janet M. Stevens
Janet M. Stevens

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97(d)**

**TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

1. The information disclosure statement transmitted herewith is being filed *after*:

- (1) a final action under § 1.113,
- (2) a notice of allowance under § 1.311, or
- (3) an Office Action that closes prosecution ,

but before, or simultaneously with, the payment of the issue fee.

STATEMENT AND FEE

2. In accordance with the requirements of 37 C.F.R. 1.97(d):

- A. Accompanying this transmittal is a statement, as specified in 37 C.F.R. 1.97(e).
- B. Applicant(s) submit(s) the submission fee set forth in § 1.17(p) (\$180.00).

METHOD OF PAYMENT OF FEE

3. Attached is a check in the amount of \$.
 Charge Account 50-1446 in the amount of _____.
A duplicate of this paper is attached.
 The fees associated with this paper are being paid electronically with this submission.

If any additional fees are due, please charge Deposit Account 50-1446.

Respectfully submitted,



Kevin C. Brown
Reg. No. 32,402

KCB:jms

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

Practitioner's Docket No.: 791_130 RCE

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: Li YANG and Toshihiro YOSHIDA

Serial No.: 09/770,725

Art Unit: 1745

Filed: January 26, 2001

Examiner: Jonathan Crepeau

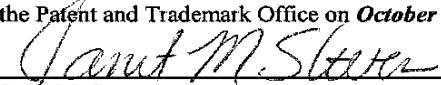
Confirmation No.: 6015

For: LITHIUM SECONDARY BATTERY

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION OF EFS TRANSMISSION

I hereby certify that this paper is being transmitted via EFS to
the Patent and Trademark Office on *October 5, 2006*


Janet M. Stevens

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Sir:

Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

No item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,



Kevin C. Brown

Reg. No. 32,402

October 5, 2006

Date

KCB:jms

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Li YANG and Toshihiro YOSHIDA

Ser. No.: 09/770,725 Art Unit: 1745

Filed: January 26, 2001 Examiner: Jonathan Crepeau

Confirmation No.: 6015

For: LITHIUM SECONDARY BATTERY

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION OF EFS TRANSMISSION

I hereby certify that this paper is being transmitted via EFS
to the Patent and Trademark Office on *October 5, 2006*.


Janet M. Stevens

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Required copies of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a Japanese Office Action from a corresponding Japanese patent application bearing a mailing date of September 26, 2006, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

Reference ***AJ*** corresponds to Reference ***AI***.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,



Kevin C. Brown
Reg. No. 32,402

KCB:jms

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

| | | | | | | | | |
|--|---|----|---|--------------------------|-------------|------------------|--|--|
| <p>Form PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p> | | | | <i>Complete if Known</i> | | | | |
| | | | | Application Number | | 09/770,725 | | |
| | | | | Filing Date | | January 26, 2001 | | |
| | | | | First Named Inventor | | Li YANG | | |
| | | | | Art Unit | | 1745 | | |
| | | | | Examiner Name | | Jonathan Crepeau | | |
| | | | | Confirmation No. | | 6015 | | |
| Sheet | 1 | of | 1 | Attorney Docket No. | 791_130 RCE | | | |

U.S. PATENT DOCUMENTS

| Exam Initial | | Document Number | Date | Name | Our Docket No. | Class | Sub Class | Filing Date |
|--------------|-----------|-----------------|------------|--------|----------------|-------|-----------|-------------|
| | <i>AJ</i> | 6,632,565 | 10/14/2003 | Nemoto | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | Document Number | Date | Country | Class | Sub Class | Translation | Abstract |
|--|-----------|-----------------|------------|---------|-------|-----------|-------------|-------------------------------------|
| | <i>AJ</i> | 11-260416 | 09/24/1999 | JP | | | | <input checked="" type="checkbox"/> |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages etc.)

| | | |
|--|--|--|
| | | |
| | | |

| | |
|-----------|------------------|
| Examiner: | Date Considered: |
|-----------|------------------|

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.